

Audit & Governance Committee Agenda



To: Dr Olu Olasode (Independent Chair)
Councillor Matt Griffiths (Vice-Chair)
Councillors Claire Bonham, Simon Brew, Endri Llabuti, Enid Mollyneaux,
Nikhil Sherine Thampi and Sean Fitzsimons

A meeting of the **Audit & Governance Committee** which you are hereby invited to attend, will be held **Thursday, 30 November 2023** at **6.30 pm. Council Chamber, Town Hall, Katherine Street, Croydon, CR0 1NX.**

Katherine Kerswell, Chief Executive
London Borough of Croydon
Bernard Weatherill House
8 Mint Walk, Croydon CR0 1EA

Hannah Cretney, Democratic Services
hannah.cretney2@croydon.gov.uk
www.croydon.gov.uk/meetings

Members of the public are welcome to attend this meeting.

If you would like to record the meeting, we ask that you read the guidance on the recording of public meetings [here](#) before attending.

The agenda papers for all Council meetings are available on the Council website www.croydon.gov.uk/meetings

If you require any assistance, please contact Hannah Cretney, Democratic Services as detailed above

AGENDA

1. Apologies for Absence

To receive any apologies for absence from any members of the Committee.

2. Disclosure of Interests

Members are invited to declare any disclosable pecuniary interests (DPIs) they may have in relation to any item(s) of business on today's agenda.

3. Minutes of the Previous Meeting (Pages 5 - 22)

To approve the minutes of the meetings held on 21 September 2023 and 19 October 2023 as an accurate record of the proceedings.

4. Urgent Business (if any)

To receive notice of any business not on the agenda which in the opinion of the Chair, by reason of special circumstances, be considered as a matter of urgency.

5. Audit & Governance Committee Action Log (Pages 23 - 26)

To note the Audit & Governance Committee Action Log.

6. Audit & Governance Committee 2023-24 Work Programme

To note the Audit & Governance Committee Work Programme for the remainder of the municipal year.

7. Committee Assurance Mapping (Pages 27 - 38)

This report details the results of the mapping of the Committee's terms of reference to the different sources of assurance that it receives.

The Audit and Governance Committee is asked to:

1. Note results of the mapping of the Committee's terms of reference to the different sources of assurance that it receives and to use this to inform the Committee's forward plan.
2. Agree that going forward, the assurance mapping document be reviewed at each Committee meeting alongside the forward plan.

8. External Audit Findings Report on the 2019-20 Statement of Accounts

Report to follow.

9. Risk Register Entries "Deep Dive" (Pages 39 - 58)

The presentations update the Audit & Governance Committee Members on progress against selected entries from the corporate risk register (the register).

The Audit & Governance Committee is asked to:

1. Note the contents of the risk presentation attached in Appendix 1.

Gas Safety & Heating Contractor Risk Presentation to follow.

10. Revenue & Capital Monitoring Improvements

Report to follow.

11. Sales, Fees and Charges Compensation Scheme 2020-21 and 2021-22 (Pages 59 - 62)

This report provides details of the compensation received as a result of COVID-19 for Sales fees and charges.

The Audit & Governance Committee is recommended to:

1. Note the details of the compensation received from the Sales, fees and charges scheme for the financial year 2020-21 and the first quarter of 2021-22.
2. Note that a reconciliation was carried out and the income received is in line with the losses incurred and claimable and that the grant for these losses has been received.

12. Internal Audit Update (Pages 63 - 92)

This report details the work completed by Internal Audit so far during 2023/24 and the progress made by the Council in resolving findings identified from audits.

The Audit and Governance Committee is asked to:

1. Note the work completed by Internal Audit so far during 2023/24 and the progress made by the Council in resolving findings arising from audits.

13. Mid Year Treasury Management Update (Pages 93 - 110)

This Report reviews the Council's treasury management activities for the first six months of financial year 2023/24.

The Audit and Governance Committee is recommended to:

1. Note the contents of the mid-year report on the treasury management activity for 2023/24.